Design Methodologies for Optimizing the Electrical and Mechanical Performances of Evansen Mode Ridge Waveguide Filters

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Abstract

In this paper, the trade-offs between out-of-band performance, filter length, power-handling capability and insertion loss of both symmetric and asymmetric evanescent mode ridge rectangular waveguide filters are investigated. As a result, clear design methodologies for optimizing such performances are proposed. The developed methodologies are then applied to design several evanescent-mode filters, and a complete performance analysis of the symmetric and asymmetric structures is performed. From the performance analysis results, the designer can choose the most appropriate filter topology and design strategy to satisfy the prescribed set of specifications.

Keywords: Waveguide Filters, Design Methodology, Computer-Aided Design, Modal Methods, Ridge Waveguide, Bandpass Filters, Losses, Microwave Power Transmission

1. Introduction

Design requirements for passive waveguide filters in modern telecommunication systems for both space and terrestrial applications are becoming more and more restrictive. Low insertion loss, compact size, high skirt selectivity, wide spurious-free stopband and suitable power-handling capability are usually required [1]. These increasing demands have stimulated the advent and refinement of different types of filters during the last decades [2, 3]. The designer must choose a suitable filter class and topology, and appropriately design it to obtain the best performance trade-off in order to fulfill the specifications.

Evanescent mode ridge waveguide filters, originally proposed in [4, 5] and refined in [6], exhibit many attractive features. They provide an excellent out-of-band performance with an inherent wide stopband and sharp selectivity. In addition, they are very compact in comparison with other waveguide filter types. The foremost weaknesses come from insertion loss and power considerations due to their small size. Although they benefit from the excellent insertion loss and power-handling capability innate to waveguide technology, and they have reasonable figures in these parameters in comparison with other waveguide filter types [1], evanescent mode ridge filters cannot rival with above-cutoff rectangular and circular waveguides. As a result, they are not well-suited to implement narrowband channel filters where a high quality factor Q is required. Nevertheless, evanescent mode ridge waveguide filters are a suitable choice for moderate and wideband filters when compact size and excellent out-of-band response are involved. For instance, they can be used as preselector filters in input and output multiplexers, particularly in satellite applications where size is a major concern.

High research effort has been devoted during the past in order to improve and take profit of the excellent properties of evanescent mode ridge filters. They have been used to obtain all-pole bandpass and quasi-lowpass responses of almost any width [7, 8]. Novel configurations have recently been presented to implement transmission zeros that further improve the skirt selectivity [9]. On the other hand, a wide range of topological variations have been proposed to improve a particular filter feature, mainly the stopband extent [10] [12] and/or the filter total length [13, 14]. But in most cases, the improvement in these features is obtained by sacrificing insertion loss and power-handling capabilities, and usually requires harder and more expensive manufacturing procedures. In [15] different ridge configurations in rectangular and circular waveguides are compared with regard to the fundamental mode attenuation coefficient and maximum transversal electric field. Nonetheless, this study has been carried out considering only the cross-section of the ridge waveguide and therefore cannot take into account effects related to the filtering structure (for instance, the ridge lengths, the field distribution along the resonators or the reactive field in the close region to the ridges).

In spite of the great practical interest of these types of structures, there is a lack of studies on their balance between insertion loss, power-handling, stopband performance and filter length. A preliminary study has been recently presented in [16], focused on symmetric ridge waveguide filters. In this paper we perform a more detailed performance analysis, thus clearer design strategies will be proposed. Additionally, the asymmetric configuration is considered and compared with the symmetric one. From this paper, the designer can identify the more appropriate filter topology (symmetric or asymmetric) as well as the design strategy to satisfy the prescribed set of electrical and mechanical filter specifications.

2. Structure Description, Analysis and Design Techniques

In waveguide technology, an evanescent mode filter is implemented in a hollow below cut-off waveguide commonly referred to as housing, which is ended with standard propagating waveguide access ports. The energy transmission between both ports is accomplished by inserting shunt capacitive elements through the housing. The below cut-off waveguide sections spacing the capacitive elements can be modeled as impedance inverters with free shunt inducances. The inserted shunt capacitances combined with the free shunt inducances of the non-propagating housing sections provide the filter resonances, obtaining bandpass behavior for the whole structure [5].

This paper is focused on conventional rectangular waveguide evanescent mode filters with rectangular cross-section metal inserts as capacitive elements (see Fig. 1). The centered symmetric configuration shown in Fig. 1a, with ridges in both the upper and the lower housing walls, as well as the centered asymmetric configuration, with ridges only in the upper wall (see Fig. 1b), will be considered and compared in order to establish the more appropriate one to refine each filter performance. For the sake of simplicity in the parametric analysis of the structure, all the metal inserts or ridges are considered to be centered and to have the same width w and height h. In addition, the housing width wa and height h2 are kept constant throughout all the structure.

Since the evanescent mode filters under consideration can be easily decomposed into uniform waveguide sections and planar waveguide discontinuities, the accuracy and efficiency of modal analysis methods can be exploited [17]. To obtain the modal spectrum of the ridge waveguides, an implementation of the BI-RME method has been used [18]. The structure design is performed by the systematic decomposia

3. Parametric Analysis

To design an evanescent mode filter, a design parameter for each resonator and impedance inverter is required in order to recover an all pole ideal response in the passband. These roles have been assigned to the ridge waveguide section lengths l, and the below-cut-off waveguide sec-
A parametric analysis has been carried out to find out the effect of such free design parameters in the filter performances. An ideal 2 pole Chebyshev bandpass transfer function centered at 7 GHz, with 280 MHz bandwidth and 1.5 dB return loss has been chosen as reference response. First, an evanescent mode waveguide filter with WR137 standard waveguide access ports has been designed to obtain this response. Then, the structure free design parameters have been modified over a wide range, taking into account manufacture constraints. For each value set, the reference response was recovered by using the resonator and housing section lengths and . The resulting filter is evaluated in terms of length, spurious free band, insertion loss and power-handling capability. As a result, several tables and graphs relating the free design parameters and the filter performances have been obtained.

In addition, the modal spectrum of the ridge waveguides has also been characterized. As shown in Fig. 2, an increase in the ridge depth reduces the fundamental mode cutoff frequency, whereas the cutoff frequencies of the other plotted modes are hardly changed. Therefore, the monomode operating region is increased. Concerning the ridge width , a parabolic variation is observed in the fundamental mode cutoff wavelength, with a maximum value at which is around . Depending on the housing width to height ratio the cutoff frequencies of the higher-order modes collected in Fig. 2 are also very important for the filter performances, particularly the cut-off band response. The dimensions of the filters designed in section 5 reveal that Fig. 2a is the typical modal chart to be used for the ridges of symmetric evanescent mode filters. On the contrary, Fig. 2b shows to be very appropriate for asymmetric filter ridge choice, since the asymmetric ridge modal spectrum can be extracted from the spectrum of a symmetric double-ridge waveguide with double height and the same .

The next subsections describe the main conclusions of the parametric analysis carried out for each particular performance.

3.1 Insertion Loss

Assuming vacuum for the dielectric material, the filter losses are only due to conductor ohmic losses. For waveguide filters with good conductors, nonetheless, the losses are very low and their computation is not a simple task. The effect of the return loss ripple in the insertion loss can be significant, and it can hide small variations due to the ohmic losses required to extract the parametric analysis data. To overcome these difficulties, a metal conductor with moderate conductivity has been considered, and the variations caused by , are compensated by considering the following modified insertion loss figure:

\[
\frac{I.L. (dB)}{S_{21}} = 10 \log \left( \frac{|\Gamma_i|}{S_{21}} \right) \tag{1}
\]

which represents the ratio of the total input power to the power not dissipated inside the filter. Finally, to obtain a more realistic value, this figure is scaled to copper conductors by correcting the material conductivity factor.

Concerning the ridge width, the parametric analysis for both symmetric and asymmetric waveguides can be slightly re- 

duced by means of a wider ridge. Finally, asymmetric filters can provide higher ridge gaps and therefore withstands higher input power levels.

3.2 Power-Handling Capability

In payload microwave passive devices, the power-handling capability is usually restricted by the dielectric breakdown electric field, as well as by the multipactor effect under the extremely low pressure conditions in space missions. Hence, to derive the power-handling capability, the maximum electric field inside the filter has been computed for a 1 W input excitation. From this value, the maximum power at the filter input to avoid dielectric breakdown and multipactor can be computed [15, 21].

The results of the parametric analysis, not shown for the sake of space, reveal that the maximum electric field depends exponentially on the ridge waveguide gap . Therefore, the filter power-handling capability is maximized by increasing the housing height and by reducing the ridge depth . A wider housing is thus preferred since it allows to use less deep ridges, and the ridge gap can be increased. Moreover, the input power level can be slightly decreased by reducing the waveguide height.

3.3 Filter Length

The filter length information has been summarized in Fig. 3 through marks. For usual filter dimensions, the inverter (i.e. housing section between ridges) lengths are the main part of the filter length. Although a wider housing provides shorter resonators as the ridge cut-off frequency...
is decreased, the inverter lengths are dramatically increased because the evanescent TE$_{01}$ mode approaches cut-off and longer housing sections are required to implement the same coupling. The global result is a significant filter enlargement. Hence, a narrower housing must be taken to reduce the filter length.

The remaining free design parameters are less important than the housing width to the transition region. Anyway, a higher housing height should be chosen to obtain a shorter structure. Reducing the ridge dimensions, to reduce the filter length it is convenient to increase the ridge width $w$ up to $w_{\text{re}}$ since for $w > w_{\text{re}}$ the filter length is insignificant and then increase their depth $h$.

3.4 Spurious-free Stopband Response

Several factors can produce a first spurious response before the upper frequency of the requested stopband, $f_2$. The first factor arises from the propagation and resonance of the TE$_{01}$ mode in the housing rectangular waveguide. The avoidance of this resonance restricts the maximum housing width $w_1$ to

$$a_0 \leq s_{\text{h,sym}} = \left[1 + \frac{c}{2f_2} \right][2]$$

where $s$ is the term which takes into account that the housing sections resonate at the frequency where their lengths become approximately $l/2$ instead of the TE$_{01}$ cutoff frequency.

The second resonance of the ridge sections is another effect that can end the filter stopband. This TE$_{01}$ resonance comes from the periodic response of the resonators, and can be controlled by a suitable choice of the ridge dimensions $w$ and $h$ to keep the ridge length under $l/2$ in the filter stopband.

The higher order modes are the last factor that must be considered to satisfy the stopband specification. These modes can provide an unwanted bridge to transfer energy along the structure ridge and housing sections. Using modal charts similar to Fig. 2, suitable ridge dimensions $w$ and $h$ can be chosen to avoid the propagation of higher order modes in the ridge sections before the stopband end. A very important case for evanescent mode waveguide filters is the TE$_{01}$ like mode. The cut-off frequency of this mode in the ridge and invertor sections coincides, causing a low-pass effect that produces spikes above their cut-off frequency. Although from symmetry considerations, the TE$_0$ should not be excited, manufactured structures never hold a perfect symmetry and alignment, and as it will be shown in Section 5, in practice the condition

$$h \leq h_{\text{re,sym}} = \frac{c}{2f_2}[3]$$

must limit the housing height to avoid TE$_{01}$ related spikes.

4. Design Strategies

From the parametric analysis can be concluded that the filter performances are improved using the highest housing able to satisfy the out-of-band requirement. Hence, according to (3), $h_{\text{re,sym}}$ will be always fixed to $h_{\text{re}}$.

To reduce the filter losses and increase its power-handling capability the widest housing must be chosen. The housing width is obtained by starting from (2) with $s = 0.15$ and increasing $a_0$ while the length and the out-of-band specifications are fulfilled. In case that the designed filter does not satisfy these specifications, a narrower housing should be taken. To optimize the power-handling capability, the maximum ridge gap $a_0$ must be obtained by choosing the lower ridge depth $h$. This can be accomplished by setting $w = w_{\text{re}}$ and then reducing $h$ whereas the filter first inverter can be manufactured, the filter total length condition is fulfilled, and the ridge section length increase does not introduce the TE$_{01}$ resonance inside the stopband. On the other hand, to optimize the filter insertion loss it is also recommended to take the lower ridge depth $h$ but with the width set to $w > w_{\text{re}}$ as the losses increase severely for $w < w_{\text{re}}$ to compromise between insertion loss and power-handling must be sought, an intermediate value for $w$ could be taken.

The design procedures to optimize the filter length and the out-of-band response are similar. In both cases a manufactured filter with the narrowest housing must be sought. The following algorithm can be used:

1. For the housing dimensions set, take $w = w_{\text{re}}$
2. Increase the ridge depth $h$ up to obtain the smaller ridge length that can be successfully manufactured.
3. Whereas the first inverter section can be manufactured, reduce $a_0$ and goto 1.

This algorithm provides the shorter filter and maximizes the stopband. In case of out-of-band optimization, as the upper stopband frequency $f_2$ is increased, the housing height must be reduced together with the housing width to avoid spurious responses from TE$_{01}$ like modes (see eq. (3)).

Two pair of quite similar strategies have been presented. The first pair optimizes insertion loss and power-handling capability, whereas the second pair is for filter length and out-of-band response.

Asymmetric filters provide better insertion loss and much better power-handling capability for the same set of specifications.

5. Results

5.1 Comparative Study of Filter Topologies and Performances

To test the design strategies described in section 4 and evaluate the performance of evanescent mode ridge waveguide filters, four different filter specifications have been considered. A symmetric and an asymmetric filter have been designed to fulfill each specification, so that both filter topologies can be compared in terms of insertion losses, power-handling capability, and stopband extent.

The four filters are denoted now onward as filters A, B, C and D. The first pair of filters, denoted now onward as filters A and B, have been designed to optimize the filter insertion losses, $S_{11}$ and $S_{21}$, with the highest housing with respect to section 4, in terms of ripple Chebychev bandpass response with $300\text{ MHz}$ bandwidth centered at $10\text{ GHz}$ has been considered. The filter access ports were standard WR-90 waveguides.

The first pair of filters, denoted now onward as filters A have been designed to reduce the filter losses with a rejection greater than $40\text{ dB}$ in a stopband that ranges from $10.5\text{ GHz}$ to $17\text{ GHz}$. Filters B have been optimized to improve the power-handling capability with the same stopband specification of filters A. On the other hand, the symmetric and asymmetric filters C have been designed to reduce the structure length without spurious passbands up to $20\text{ GHz}$. The goal of filters D was to optimize the stopband extent.

Following the design strategies described in this paper, the four pair of filters have been designed. Five order evanescent mode filters were required to satisfy the selectivity specification. Table 1 compares the structure dimensions and performances for each designed filter. The manufacture constraints considered in a minimum length for the first inverter of $0.25\text{ mm}$ and ridge sections shorter than $0.9 \text{ mm}$.

The insertion loss must be optimized in filters A. According to section 3.1, the wider housing satisfying the out-of-band requirements must be sought. On the other hand, for filters B, the ridge gap and therefore the power-handling capability can be improved on a small scale by choosing a slightly narrower housing. This housing
To avoid the stopband spikes that come from manufacture asymmetries, the limitation (3) should be enforced.

gives the designer a margin to fulfill the stopband specs since the spurious response goes up in frequency. This margin can be invested in a ridge section length increase due to a higher ridge gap choice, resulting in better power-har- 

nelling figures (compare filters A-B in Table 1).

The out-of-band performance and the filter length are optimized by using a narrower housing. This housing can be obtained following the iterative algorithm described in section 4. In filters D, the increase in the stopband extent requires a lower housing height to keep the TE$_{01}$ mode cut-off frequency. This housing increases the step in the first structure discontinuity, thus reducing the housing section length. Hence, when the spurious-free band is optimized, a wider housing is required to be able to implement the first filter inverter and a longer filter is obtained. This is the main discrepancy in the design proce- 

dure for filters D and C (see Table 1).

With regard to the comparison between sym- 

tmetric and asymmetric topologies, the asym- 

cmetric filters provide better insertion loss and much better power-handling capability for the same set of specifications. The only exception to this rule comes from the filters designed under specs B. In this case the insertion loss is almost equal because the losses increase with the ridge width $w$ starts from a lower $w$ in the asymmet- 

cric topologies (compare Fig. 3a and 3c). Anyway, the results in Table 1 reveal that an asymmetric evanescent mode filter can be easily designed to provide simultaneously better losses and power-

nelling capability than any symmetric coun- 

terpart. Even though slightly shorter filters can be obtained, symmetric configurations opti-

mized to reduce length, for evanescent mode filters demanding good figures in insertion loss and power-handling with moderate stopband requirements the asymmetric filters are sub-

stantially shorter. As a result, the use of the sym- 

tmetric topology is only recommended when an extreme optimization of the out-of-band performance or the filter length is requested in combination with poor requirements in inser-

tion loss and power-handling capability.

5.2 Experimental Results

Two C-band evanescent mode ridge waveguide filters have been designed and manufactured to validate the design procedures proposed in this paper with real measurements. For both filters, an all pole 249 MHz bandwidth Chebychev response centered at 6.966 GHz has been chosen. The pass-

band return loss has been set to 22 dB. Both filters were manufactured from an aluminium block by using conventional milling techniques, and were 

ended with standard WR-137 ports. A silver-plat-

ened coating was applied to the metal conductor walls to reduce the ohmic losses.

The first filter was designed to optimize insertion loss with a rejection greater than 40 dB between 7.2 and 14 GHz. An asymmetric configuration can fulfill the spurious band specifications with lower insertion loss than a symmetric topology. A 7th order filter was required to satisfy the stop-

band requirement at 7.2 GHz. Figure 4 depicts the simulated and measured filter responses. The physical dimensions obtained from the structure dimensional control were enough to perform the simulations. The passband measured in-

sersion loss was 0.35 dB, which translates into a quality factor of about 3 600. The filter total length (excluding access ports) was 102.13 mm.

For the second filter, the design criterion was to optimize the filter length with a stopband rang-

ing between 7.2 and 21 GHz. According to the topology comparative analysis, a symmetric configuration was taken. A filter with 7 resona-

tors was required to fulfill the design specifi-

cations. Figure 5 compares the simulated (after dimensional control) and measured response of the manufactured filter. In Fig. 6 the pieces of the symmetric filter and the final assembled structure are shown. A very compact C-band structure of only 73.62 mm length (excluding access ports) was obtained. As it was expected, an increase in the passband insertion loss was observed. The measured insertion loss was 0.45 dB, so that the measured quality factor drops to nearly 2 800.

As shown in Fig. 4a and 5a, the agreement be-

tween the measured and the simulated band-

pass response is very good, particularly for the asymmetric filter. The differences can be at-

tributed to manufacture tolerances, whose ef-

fect is more important in the symmetric filter

where the more sensitive dimension, the ridge 

gap, is smaller. Figures 4b and 5b show the fil-

ter stopband responses. The agreement is very 
good, but the measured stopband responses are 

plagued with very narrow spikes. For these filters the housing height constraint was not applied, since from the simulations we wrongly conclude that $h_1$ did not affect to the out-of-band per-

formance. A higher housing was therefore taken. Nonetheless, spurious appeared in the stopband response. The authors have proved that these spurious come from asymmetries due to manu-

facture tolerances and assembly misalignments. These impairments excite unexpected modes (for instance, the TE$_{01}$, mode) that theoretically should not appear in the structure under TE$_{01}$ incidence. Such modes provide an alternative path for the power transmission along the filter, thus introducing spurious responses in the filter stopband. In fact, the first spike in both filters appears exactly at the TE$_{01}$ cut-off frequency. To avoid these spikes, the limitation (3) should be enforced.

6. Conclusions

The performance compromises of symmet-
ic and asymmetric evanescent mode ridge waveguide filters have been deeply investi-

gated. As a result, clear design strategies to 

optimize insertion loss, power-handling, out-of-

band response and length have been proposed. Using these strategies, a complete performance analysis and comparison of both symmetric and asymmetric topologies are carried out. From the methods and results presented in this paper, the designer can choose the best topology to satisfy a prescribed set of specifications. Furthermore, he can also select the design strategy to be followed in order to achieve the best perform-

ance trade off for the evanescent mode ridge waveguide filter structure.

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